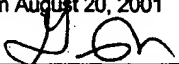


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Verrips
Serial No.: 09/880,199
Filed: June 13, 2001
For: LACTOBACILLUS CONTAINING PRODUCT

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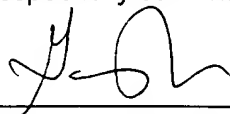
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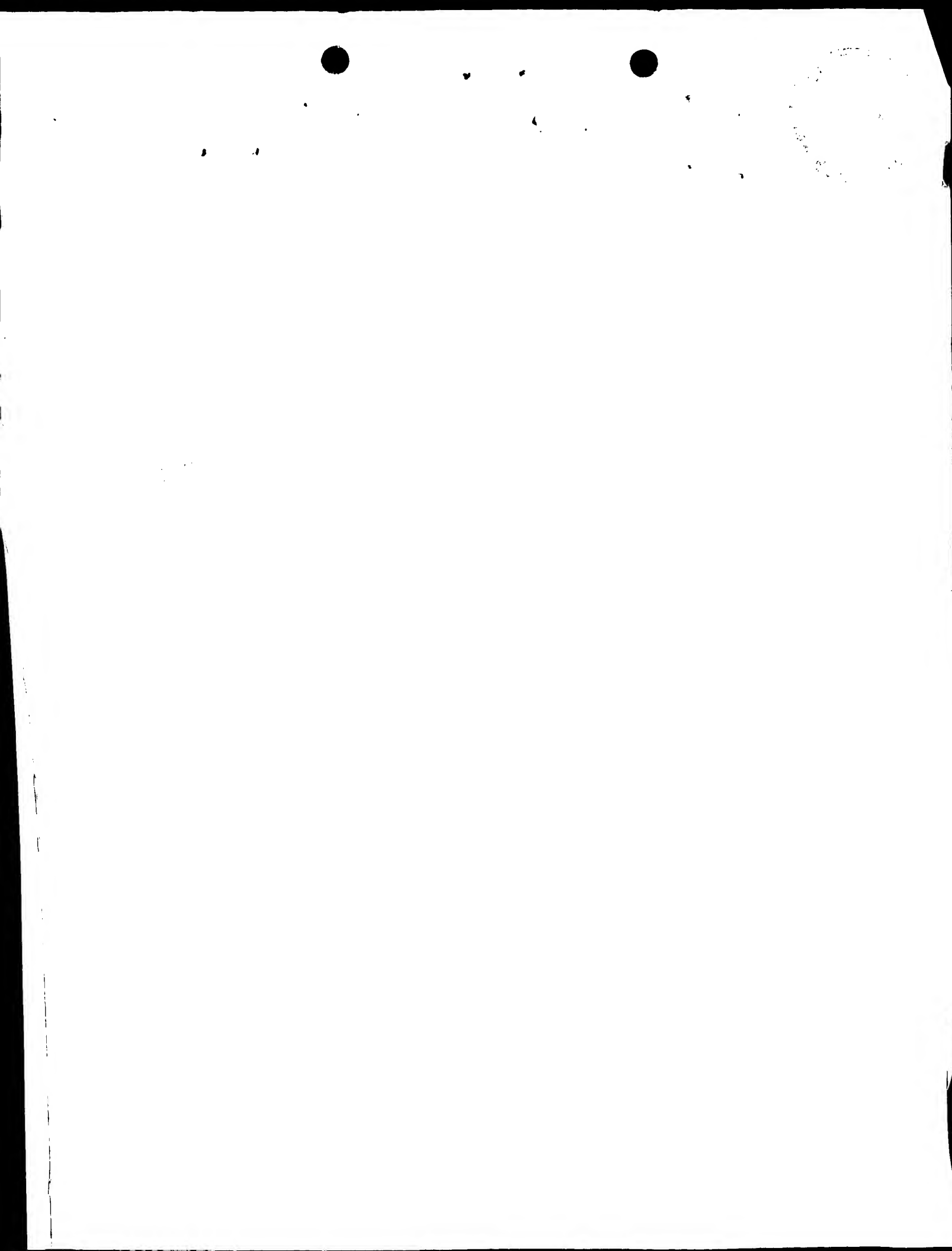
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It is respectfully requested that the priority document be made part of the file history.

Respectfully submitted,


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Patentanmeldung Nr. Patent application No. Demande de brevet n°

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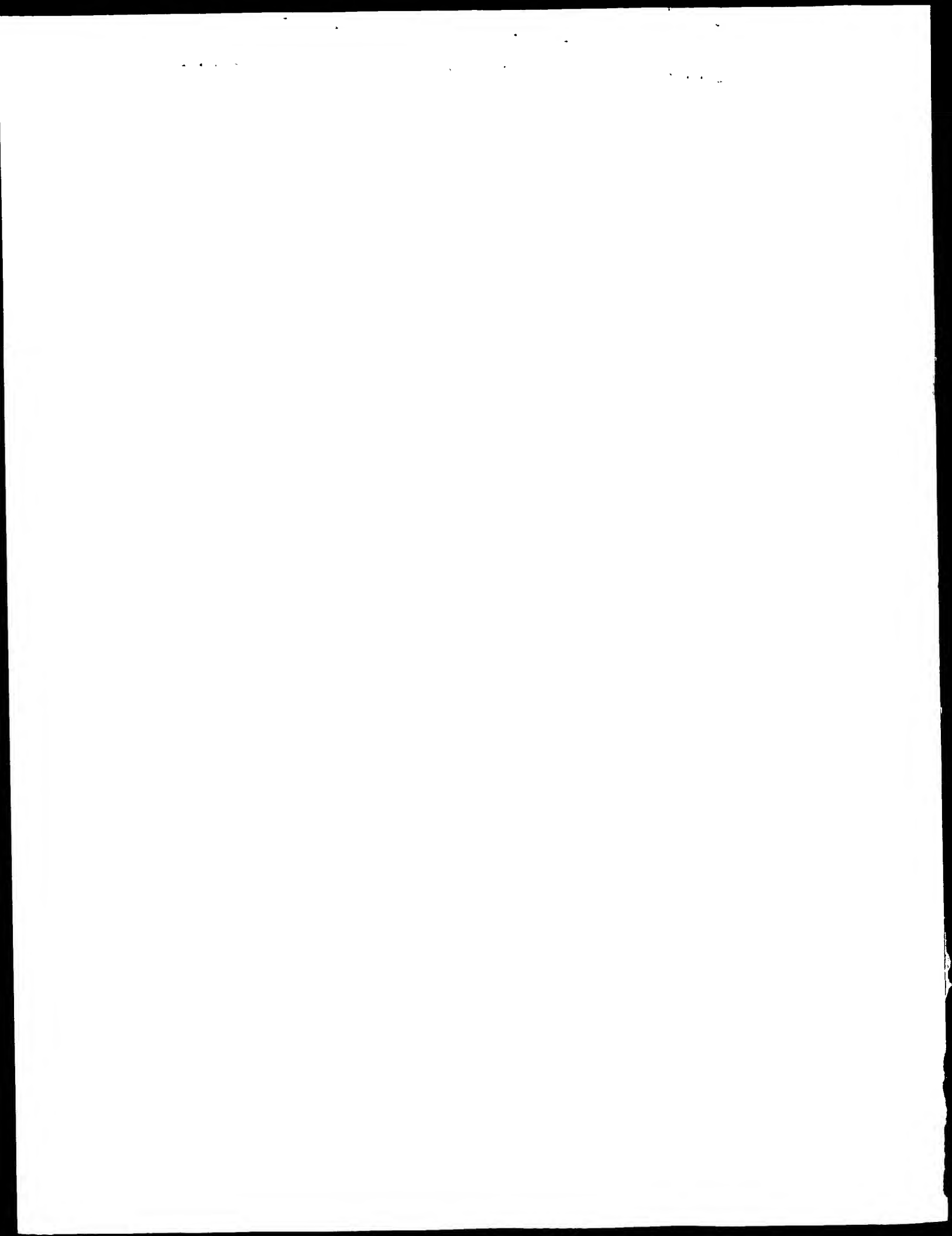
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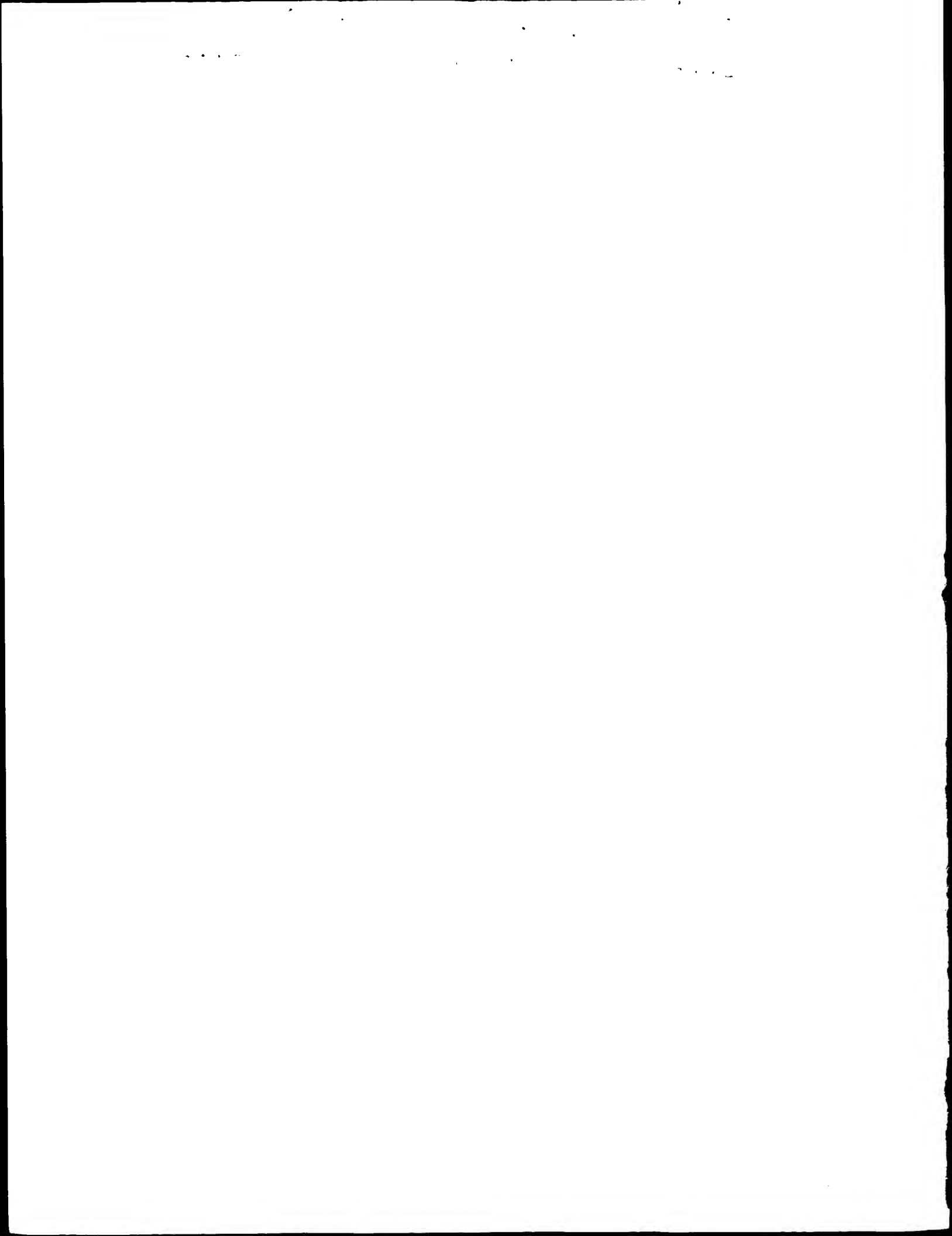
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FOR TITLE SEE PAGE ONE OF DESCRIPTION.



EPO - DG 1

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13. 06. 2000

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Lactobacillus containing ProductField of Invention

5 The present invention relates to food products comprising Lactobacillus. In particular the invention relates to a method to prepare food products comprising Lactobacillus and the use of these products for promoting the health of human beings.

10

Background of the invention

Lactobacilli are well-known bacteria applied in the production of food products. For example yogurt is normally
15 made by fermenting milk with among others a Lactobacillus strain. The fermented acidified product, still containing the viable Lactobacillus, is then cooled and consumed at the desired moment.

20 Another application of Lactobacillus in food products is in the production of meat products for example sausages. Here the Lactobacillus is added to the meat mass prior to applying the casing, followed by a period of ripening in which the fermentation process takes place.

25

Still another application of Lactobacillus in the production of food products is the brining of vegetables such as cabbage (sauerkraut), carrots, olives or beets. Here the natural fermentation process can be controlled by
30 the addition of an appropriate Lactobacillus starter culture.

The application of Lactobacillus in food products is often associated with several health effects, see for example A.C. Ouwehand et al. in Int. Dairy Journal 8 (1998) 749-758. In particular the application of probiotics is

5 associated with several health effects for example relating to gut well being such as IBS (Irritable Bowel Syndrome), reduction of lactose maldigestion, clinical symptoms of diarrhea, immune stimulation, anti-tumor activity and enhancement of mineral uptake.

10

There are several possible problems associated with the use of viable Lactobacillus, in particular probiotics in food products.

15 A possible first problem is that many consumers do not appreciate the taste of food product when fermented. For example often consumers find yoghurt too acid and/or do not like the taste of other fermented food products.

20 A possible further problem especially related to the use of probiotics is that probiotics have been associated with many health effects, while sometimes there is a need to have a substance which selectively addresses only a limited number of health effects. For example for some consumers

25 there may be a need to use a substance to reduce the symptoms of diarrhea while there is no desire to ingest substances with anti-tumor activity. The belief is that probiotics are less suitable to give this desired selectivity.

30

Another possible problem in the use of viable Lactobacillus in food products is that they are expensive to prepare and

that the method of storing the viable *Lactobacillus* and the subsequent processing into the food is complicated and hence further enhances the costs of the food products.

5 A possible further problem with the use of viable *Lactobacillus* in food products is that the formulation of the product often needs to be adapted to ensure that the viable character of the *Lactobacillus* can be maintained. This limits the formulation flexibility e.g. low or high pH
10 values may not be suitable, high mineral contents may not be possible and the product sometimes may need a minimum water activity.

Another possible problem with the use of viable
15 *Lactobacillus* in food products is that often the products will require storage at relative low temperatures to ensure that the fermentation process will not proceed beyond a certain level. If the fermentation process proceeds this may lead to products which are either too acid or which
20 have unwanted structures due to so-called post-acidification.

Furthermore the use of viable *Lactobacillus* in food products sometimes prevents the pasteurization or other
25 heat treatment thereof. This again may limit the shelflife of the products and/or may require expensive storing or packaging conditions. Furthermore the need to avoid heat treatments may limit the type of food products in which the *Lactobacillus* are to be incorporated.

30

Another problem with the use of viable *Lactobacillus* on food products is that because of the fact that they still

ferment there is an upper limit to the number of Lactobacillus cells that can be applied in the food product.

- 5 The present invention aims at solving one or more of the above problems by providing a novel method to produce food products containing Lactobacillus.

Accordingly the present invention relates to a method to
10 produce a food product comprising non-viable Lactobacillus bacteria, wherein the Lactobacillus bacteria are added in such a way that no substantial fermentation of the food product by said Lactobacillus bacteria will take place.

- 15 In a preferred embodiment of the invention the addition of the Lactobacillus bacteria in such a way that no substantial fermentation of the food product by said Lactobacillus will take place involves the addition of non-viable Lactobacillus bacteria into the food product.

20

In a second preferred embodiment of the invention the addition of the Lactobacillus bacteria in such a way that no substantial fermentation of the food product will take place involves the addition of viable Lactobacillus into
25 the food product followed by inactivation (for example by a heat-treatment or the pH of the product) of the viable Lactobacillus before substantial fermentation of the food product by said Lactobacillus can take place.

- 30 Detailed description of the invention

For the purpose of this invention the following definitions will be applied

Viable Lactobacillus bacteria are Lactobacillus bacteria, 5 which are capable of growing under the appropriate growing conditions of, said Lactobacillus strain.

Non-viable Lactobacillus bacteria are Lactobacillus bacteria of which substantially all or all bacteria are not 10 capable of growing under the appropriate growing conditions of said Lactobacillus strain.

Appropriate growing conditions for a Lactobacillus strain refer to a combination of pH, medium and temperature where 15 normally a diluted version of said strain in viable form (say about 10^6 bacteria per gram) would grow to a density of at least 10^7 bacteria per gram within a normal period of growth.

20 Probiotics are defined as viable microbial food supplements which beneficially influence the host by improving its intestinal microbial balance in accordance to Fuller (1989) probiotics in man and animals, Journal of Applied Bacteriology 66, 365-378.

25

Health active non-viable Lactobacillus bacteria are probiotics which have been rendered non-viable.

No substantial fermentation by said Lactobacillus can for 30 example be evidenced by the substantial absence of post-acidification, whereby post-acidification occurs when the pH is lowered by at least 0.1 pH unit.

As described above the present invention relates to a method whereby food products are produced which contain non-viable *Lactobacillus* bacteria.

5

For the purpose of the invention any edible *Lactobacillus* may be used for example *Lactobacillus casei*, *Lactobacillus paracasei*, *Lactobacillus rhamnosus*, *Lactobacillus salivarius*, *Lactobacillus delbrueckii* subsp. *bulgaricus*,
10 *Lactobacillus sanfranciscus*, *Lactobacillus brevis*,
Lactobacillus plantarum, *Lactobacillus sake* and
Lactobacillus reuteri especially preferred is the use of
Health active non-viable *Lactobacillus* bacteria for example
non-viable version of *Lactobacillus casei* strain DN-114001,
15 *Lactobacillus reuteri*, *Lactobacillus acidophilus* NCFB 1748,
Lactobacillus rhamnosus VTT E-97800, *Lactobacillus*
rhamnosus 272, *Lactobacillus casei* strain Shirota,
Lactobacillus casei GG, *Lactobacillus plantarum* 299v and
Lactobacillus salivarius UCC188.

20

Advantageously the amount of non-viable *Lactobacillus* bacteria in food products of the invention is between 10^6 and 10^{11} per serving or (for example if serving size is not known) between 10^6 and 10^{11} per 100 g of product, more
25 preferred these levels are from 10^7 to 10^{10} per serving (or 100 g of product), most preferred 10^8 to 10^9 per serving or per 100 g of product.

Several food products may be prepared according to the
30 invention, for example meal replacers, soups, noodles, ice-cream, sauces, dressing, spreads, snacks, cereals, beverages, bread, biscuits, other bakery products, sweets,

bars, chocolate, chewing gum, dairy products, dietetic products e.g. slimming products or meal replacers etc. For some applications food products of the invention may also be dietary supplements, although the application in food products of the above type is preferred.

Table 1 indicates a number of products, which may be prepared according to the invention, and a typical serving size.

Table 1

Product	Serving size
margarine	15 g
ice-cream	150 g
dressing	30 g
sweet	10 g
bar	75 g
meal replacer drink	330 ml
Beverages	200

5 The method according to the invention is especially suitable to prepare food products, which have a pH at which Lactobacillus are normally not stable.

In particular the invention can very advantageously be used
10 for the preparation of food products having a pH of 3.8 or less, for example from 3.8 to 2.0, more preferred 3.5 to 2.5, most preferred 3.3 to 3.0. Examples of such products are beverages, for example some softdrinks e.g. of the cola type or fruit juices or fruit based drinks such as lemon or
15 orange juice. Accordingly in another aspect the present invention relates to a food product having a pH of 3.8 or less said food product comprising non-viable Lactobacillus bacteria and said food product being substantially non-fermented by said Lactobacillus bacteria.

20

Alternatively the invention can advantageously be used for the preparation of food products having a pH of 5.0 or more, for example from 5.0 to 10.0, more preferred 5.1 to 8.0, most preferred 5.2 to 7.0. Examples of such products

are for example sauces, milk, margarines, bakery products, meal replacers, ice-cream etc.

The method of preparation in accordance to the invention
5 preferably involves a heat-treatment either as a step to prepare the food product (e.g. cooking, steaming, baking etc) or for preservation of the product (e.g. pasteurisation or sterilisation). Said heat-treatment may advantageously be used to inactivate any Lactobacillus
10 bacteria that may have been added in viable form. Preferably the heat-treatment should not fully denature the Lactobacillus strain, such that the individual bacteria are still recognisable as such.

15 Another advantage of the method in accordance to the invention is that it is now possible to add Lactobacillus bacteria, in particular health active Lactobacillus bacteria to a food product of low water activity for example of less than 0.90, for example less than 0.85, for
20 example from 0.80 to 0.50.

Therefore in another aspect the invention relates to a food product having a aw of 0.90 or less said food product comprising non-viable Lactobacillus bacteria and said food
25 product being substantially non-fermented by said Lactobacillus bacteria.

As indicated above the inventions concerns the addition of Lactobacillus bacteria to food product whereby substantial
30 fermentation by said Lactobacillus bacteria is to be avoided. In principle however it is still possible that the products of the invention comprise another fermentation

source than the Lactobacillus, which are added in accordance to the invention. For example the food product of the invention may already be fermented before addition of the Lactobacillus in accordance to the invention, such
5 as brined vegetables or a variety of indigenous foods. A preferred embodiment of the invention however concerns the application of the invention to non-fermented food products.

10 In one aspect the method in accordance to the invention involves the addition of non-viable Lactobacillus bacteria to the food product. This may for example involve the mixing of the desired level of non-viable Lactobacillus bacteria into a finished food product e.g. it may be mixed
15 into a sauce, margarine or drink after preparation thereof. Alternatively it may involve the combining of the non-viable Lactobacillus bacteria with one or more other ingredients of the product followed by further manufacturing steps to make the food product. For example
20 in the process of making a bakery product the non-viable Lactobacillus bacteria may be added to the dough, followed by baking the dough in the oven to prepare the final product. In another example non-viable Lactobacillus bacteria may be added to a ice-premix followed by
25 (optional) heat treatment and freezing.

In another embodiment of the invention the lactic acid bacteria are added in viable form to the product followed by rendering the bacteria non-viable before substantial
30 fermentation of the product takes place. For example viable Lactobacillus bacteria may be added to a fruitdrink having a pH of 3.0. The low pH of the drink will instantaneously

render the Lactobacillus bacteria non-viable and no substantial fermentation of the drink will take place. In another embodiment viable Lactobacillus bacteria may be added to a sauce followed by a heat-treatment to render the
5 Lactobacillus bacteria non-viable.

In a preferred embodiment of the invention the method of preparation involves the addition of a mixture of viable and non-viable Lactobacillus bacteria followed by rendering
10 viable bacteria non-viable. This method has the particular advantage that a very cheap starting mix of viable and non-viable lactic acid bacteria can be used for example a Lactobacillus preparation as obtained via cell recycle fermentation. Production of such a mix, particularly a mix
15 wherein the ratio of non-viable to viable bacteria is more than 2 : 1, more preferred more than 5 :1, most preferred more than 10 : 1 up to 10,000 :1, can very easily be done at reasonable costs.

20 The invention will now be further illustrated by the description of suitable embodiments of the preferred food products for use in the invention. It is believed to be well within the ability of the skilled person to use the teaching provided therewith to prepare other products of the
25 invention.

Margarines and other spreads

Typically these are oil in water or water in oil emulsions,
30 also spreads which are substantially fat free are covered. Typically these products are spreadable and not pourable at the temperature of use e.g. 2-10 C. Fat levels may vary in a

wide range e.g. full fat margarines with 60-90 wt% of fat, medium fat margarines with 30-60 wt% of fat, low fat products with 10-30 wt% of fat and very low or fat free margarines with 0 to 10 wt% of fat.

5

The fat in the margarine or other spread may be any edible fat, often used are soybean oil, rapeseed oil, sunflower oil and palm oil. Fats may be used as such or in modified form e.g. hydrogenated, esterified, refined etc. Other suitable
10 oils are well known in the art and may be selected as desired.

The pH of a margarine, or spread may advantageously be from 5.0 to 6.5.

15

Examples of spreads other than margarines are cheese spreads, sweet spreads, yogurt spreads etc.

Optional further ingredients of spreads may be emulsifiers,
20 colourants, vitamins, preservatives, emulsifiers, gums, thickeners etc. The balance of the product will normally be water.

A typical size for an average serving of margarine or other
25 spreads is 14 grams. Preferred Lactobacillus levels in the margarine or spread are 10^6 and 10^{11} per serving, more preferred these levels are from 10^7 to 10^{10} per serving most preferred 10^8 to 10^{10} per serving.

30 **Frozen Confectionery Products**

For the purpose of the invention the term frozen confectionery product includes milk containing frozen confections such as ice-cream, frozen yoghurt, sherbet, sorbet, ice milk and frozen custard, water-ices, granitas and frozen fruit purees.

Preferably the level of solids in the frozen confection (e.g. sugar, fat, flavouring etc) is more than 3 wt%, more preferred from 10 to 70wt, for example 40 to 70 wt%.

10

Ice-cream will typically comprise 2 to 20 wt% of fat, 0 to 20 wt% of sweeteners, 2 to 20 wt% of non-fat milk components and optional components such as emulsifiers, stabilisers, preservatives, flavouring ingredients, vitamins, minerals, etc, the balance being water. Typically ice-cream will be aerated e.g. to an overrun of 20 to 400 %, more general 40 to 200 % and frozen to a temperature of from -2 to -200 C, more general -10 to -30 C. Ice-cream normally comprises calcium at a level of about 0.1 wt%.

20

A typical size of an average serving of frozen confectionery material is 66 grams. Preferred Lactobacillus levels are from 10^6 and 10^{11} per serving, more preferred these levels are from 10^7 to 10^{10} per serving most preferred 10^8 to 10^9 per serving.

25

Beverages, for example Tea Based Products or meal replacers

Lactobacillus can advantageously be used to beverages for example fruit juice, soft drinks etc. A very advantageous beverage in accordance to the invention is a tea based

product or a meal replacers drink. These products will be described in more detail herein below. It will be apparent that similar levels and compositions apply to other beverages comprising vitamin Lactobacillus bacteria.

5

For the purpose of this invention the term tea based products refers to products containing tea or tea replacing herbal compositions e.g. tea-bags, leaf tea, herbal tea bags, herbal infusions, powdered tea, powdered herbal tea, 10 ice-tea, ice herbal tea, carbonated ice tea, carbonated herbal infusions etc.

Typically some tea based products of the invention may need a preparation step shortly before consuming, e.g. the making 15 of tea brew from tea-bags, leaf tea, herbal tea bags or herbal infusions or the solubilisation of powdered tea or powdered herbal tea. For these products it is preferred to adjust the level of Lactobacillus in the product such that one serving of the final product to be consumes has the 20 desired levels of Lactobacillus as described above.

For ice-tea, ice herbal tea, carbonated ice tea, carbonated herbal infusions the typical size of one serving will be 200 ml or 200 grams.

25

Meal replacer drinks are typically based on a liquid base which may for example be thickened by means of gums or fibres and whereto a cocktails of minerals and vitamins are added. The drink can be flavoured to the desired taste e.g. 30 fruit or choco flavour. A typical serving size may be 330 ml or 330 grammes.

Both for tea based beverages and for meal replacer drinks, preferred Lactobacillus levels are 10^6 and 10^{11} per serving, more preferred these levels are from 10^7 to 10^{10} per serving most preferred 10^8 to 10^9 per serving.

5

For products which are extracted to obtain the final product, generally the aim is to ensure that one serving of 200 ml or 200 grams comprises the desired amounts as indicated above. In this context it should be appreciated
10 than normally only part of the Lactobacillus present in the tea based product to be extracted will eventually be extracted into the final tea drink. To compensate for this effect generally it is desirable to incorporate into the products to be extracted about 2 times the amount as is
15 desired to have in the extract.

For leaf tea or tea-bags typically 1-5 grams of tea would be used to prepare a single serving of 200 mls.

20 If tea-bags are used, the Lactobacillus may advantageously be incorporated into the tea component. However it will be appreciated that for some applications it may be advantageous to separate the Lactobacillus from the tea, for example by incorporating it into a separate compartment
25 of the tea bag or applying it onto the tea-bag paper.

Salad Dressings or Mayonnaise

Generally dressings or mayonnaise are oil in water emulsions, The oil phase of the emulsion generally is 0 to 80 wt% of the product. For non fat reduced products the level of fat is typically from 60 to 80%, for salad dressings the level of fat is generally 10- 60 wt%, more preferred 15-40 wt%, low or no fat dressings may for example contain triglyceride levels of 0, 5, 10, 15% by weight.

Dressings and mayonnaise are generally low pH products having a preferred pH of from 2-6.

Dressings or mayonnaise optionally may contain other ingredients such as emulsifiers (for example egg-yolk), stabilisers, acidifiers, biopolymers, bulking agents, flavours, colouring agents etc. The balance of the composition is water which could advantageously be present at a level of 0.1 to 99,9 wt%, more general 20-99 wt%, most preferred 50 to 98 wt%.

A typical size for an average serving of dressings or mayonnaise is 30 grams. Preferred levels of Lactobacillus in such products would be 10^6 and 10^{11} per serving, more preferred these levels are from 10^7 to 10^{10} per serving most preferred 10^8 to 10^9 per serving.

Meal replacer snacks or bars

30

These products often comprise a matrix of edible material wherein the Lactobacillus can be incorporated. For example

the matrix may be fat based (e.g. couverture or chocolate) or may be based on bakery products (bread, dough, cookies etc) or may be based on agglomerated particles (rice, grain, nuts, raisins, fruit particles).

5

A typical size for a snack or meal replacement bar could be from 20 to 200 g, generally from 40 to 100 g. Preferred levels of Lactobacillus in such products would 10^6 and 10^{11} per serving, more preferred these levels are from 10^7 to 10^{10} per serving most preferred 10^8 to 10^{10} per serving.

Further ingredients may be added to the product such as flavouring materials, vitamins, minerals etc.

15 The invention will be further illustrated in the examples.

Example

Growing Lactobacillus strains and making them non-viable
20 A suitable medium like MRS (De Man et al. J. Applied Bacteriol. 23(1960)130-135), or an industrial equivalent, or skim milk, fortified with 0.35% yeast extract and 0.35% peptone, is inoculated with 0.5% of a Lactobacillus culture, that has been stored at -80°C as a full grown
25 culture in skim milk, diluted with sterile 10% glycerol to an end volume of 6% glycerol. The culture is grown without stirring for 6 - 24 h at a temperature that is suitable for the specific strain (generally between 28°C and 43°C). This so-called pre-culture is used to inoculate a larger volume
30 of a suitable industrial medium at a concentration of 0.1%. The cells are killed by pasteurization in batch (10 - 30 min. at 75°C) or in line (30 seconds 72°C), collected by

centrifugation or filtration, and added to the products. If needed for the process, the cells can be spray-dried first on a suitable food-grade carrier such as whey or milk proteins.

5

Alternatively, a Lactobacillus strain is grown to very high cell densities in a Cell-Recycle Fermentor (Bibal et al., Biotech. and Bioeng. 37(1991)746-754) in which densities up to 80 g/l are obtained. A part of this cell mass consists

10 of already killed cells, and the rest can be killed by the mild pasteurisation methods described.

Lactobacillus strains can also be grown on a large scale in a suitable food-grade medium, added directly to the product

15 process and killed subsequently during the processing as described in the following examples.

Example I

Milkshake

100 mls of vanilla flavoured ice-cream is mixed with 100 ml
5 of cooled milk, 10 ml of strawberry syrup. Lactobacillus GG
(ATCC 53103) 10^{11} bacteria in 10 grammes of water, was heat
treated to render the bacteria non-viable, cooled and added
to the mix. The mixture is fed through a blender and
immediately served.

10

Example II

Mayonnaise

15 100 mls of sunflower oil and 5 grammes of powdered egg yolk
were gradually mixed with a mixture of 25 mls of vinegar
(pH 3.0) and 10^{10} bacteria (Lactobacillus rhamnosus VTT,
rendered non-viable by the low pH of the vinegar) to obtain
a mayonnaise.

20

Example III

Tomato sauce

25 100 grammes of minced meat was cooked in a spoonful of
olive oil, 200 grammes of tomato sauce was added as well as
 10^{10} viable Lactobacillus bacteria (Lactobacillus casei
strain DN-114001). The sauce was flavoured with salt and
pepper and left to simmer for 10 minutes to cook the sauce
30 and render the bacteria non-viable

Example IV

100 grammes of margarine (Flora UK) was mixed with a
5 mixture of 10^9 Lactobacillus bacteria (Lactobacillus casei
strain Shirota), the bacteria had previously been dried to
aw 0.78 to render them non-viable.

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13. 06. 2000

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Claims

1. A method to produce a food product comprising non-viable Lactobacillus bacteria, wherein the Lactobacillus bacteria are added in such a way that no substantial fermentation of the food product by said Lactobacillus bacteria will take place.
2. A method according to claim 1, wherein the non-viable Lactobacillus bacteria are health active non-viable Lactobacillus bacteria.
3. Method according to claim 1 involving the addition of non-viable Lactobacillus bacteria into the food product.
4. Method according to claim 1 involving the addition of viable Lactobacillus into the food product followed by inactivation of the viable Lactobacillus before substantial fermentation of the food product can take place.
5. Method according to one or more of the preceding claims wherein the method involves a heat-treatment step for preparation or preservation of the food product.
6. Method according to one or more of the preceding claims wherein the food product is selected from the group of meal replacers, soups, noodles, ice-cream, sauces, dressing, spreads, snacks, cereals, beverages,

bread, biscuits, other bakery products, sweets, bars, chocolate, chewing gum, dairy products, dietetic products etc.

7. Method according to one or more of the preceding claims involving the addition of a mixture of viable and non-viable *Lactobacillus* bacteria followed by rendering viable bacteria non-viable.
8. Method according to claim 7 wherein the ratio of non-viable to viable bacteria is more than 2 : 1, more preferred more than 5 : 1, most preferred more than 10 : 1.
9. A food product having a pH of 3.8 or less said food product comprising non-viable *Lactobacillus* bacteria and said food being substantially non-fermented product by said *Lactobacillus* bacteria.
10. A food product having a pH of 5.0 or more said food product comprising non-viable *Lactobacillus* bacteria and said food product being substantially non-fermented by said *Lactobacillus* bacteria.
11. A food product having a *aw* of 0.90 or less said food product comprising non-viable *Lactobacillus* bacteria and said food product being substantially non-fermented by said *Lactobacillus* bacteria.

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13. 06. 2000

(90)

Abstract

A method to produce a food product comprising non-viable Lactobacillus bacteria, wherein the Lactobacillus bacteria are added in such a way that no substantial fermentation of the food product by said Lactobacillus will take place.

